

Docket No.

216268US-6X PCT
ENKEL 8627



2834

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: NILS-IVAR LANDGREN

SERIAL NO: 09/926,607

GAU: 2834

FILED: FEBRUARY 27, 2002

EXAMINER: UNASSIGNED

FOR: AXIAL REVERSED COOLING OF A ROTOR AND A COIL END SECTION IN AN ELECTRICAL ROTATING MACHINE

INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The Applicant(s) wish to make of record the references listed on the attached form PTO-1449, as filed in "holding application" Serial No. 09/147,325 filed February 17, 1999, which are relevant to the present case as well as related "bulk filing applications" cases, as discussed in Paper No. 11 (copy enclosed) Response to Petition under 37 CFR 1.182 Seeking Special Treatment Relating to an Electronic Search Tool, and Decision on Petition under 37 CFR 1.183 Seeking Waiver of Requirements under 37 CFR 1.98 (i.e., the "Response to Petition"). Because the references were cited by foreign examiners in a foreign case that corresponds with one of the U.S. "bulk filing applications", or were uncovered by the present assignee in the course of performing supplemental searches, the references are believed to be relevant to the present application and bulk filing applications. Three copies of each of the listed references were provided in "holding application" Serial No. 09/147,325 to the Patent Office consistent with the requirements in the Response to Petition.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

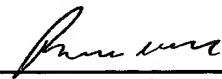


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Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.


Bradley D. Lytle
Registration No. 40,073
Thomas J. Fisher
Registration No. 44,681

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Form PTO 1449
(Modified)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.

216268US6XPCT

SERIAL NO.

09/926,607

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Nils-Ivar LANDGREN

FILING DATE

February 27, 2002

GROUP

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	3 684 906	08/15/72	Henry G. LENZ			
	AB	4 365 178	12/21/82	Henry G. LENZ			
	AC						
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FOREIGN PATENT DOCUMENTS

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	AO	39 25 337	02/07/91	DE		NO
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OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

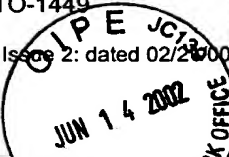
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☐ Additional References sheet(s) attached

Examiner

Date Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

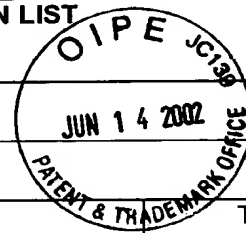
INFORMATION DISCLOSURE CITATION LIST ALTERNATE FORM PTO-1449		Docket Number: 216268US-6X PCT	Application Number 09/926,607
Issued 2: dated 02/28/00 		Applicant(s): NILS-IVAR LANDGREN	
		Filing Date: FEBRUARY 27, 2002	Group Art Unit: 2834

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	1	US 4,292,558	9/29/1981	Carl Flick et al			
	2	US 4,656,316	4/7/1987	Hans-Juergen Meltsch			
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Examiner	Date Considered
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**INFORMATION DISCLOSURE CITATION LIST
ALTERNATE FORM PTO-1449**



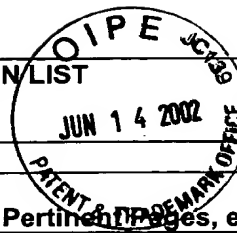
Issue2: dated 02/21/00

FOREIGN PATENT DOCUMENTS						
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	1	GB 1,319,257	6/6/1973	Anders R. Andersson et al		
	2	GB 1,322,433	7/4/1973	Siemens Akstengesellschaft		
	3	GB 2,070,341	9/3/1981	Hans-Georg Raschbichler et al		
	4	WO 98/20598	5/14/1998	Jan-Anders Karlfeldtsgatan et al		
	5	WO 98/20602	5/14/1998	Soren Berggren		
	6	WO 98/34239	8/6/1998	Gunnar Steneorpsgatan et al		
	7	WO 99/28922	6/10/1999	Thorsten Schutte et al		
	8	WO 99/29005	6/10/1999	Mats Leijon et al		
	9	WO 99/29023	6/10/1999	Peter Carstensen et al		
	10	WO 99/29025	6/10/1999	Mats Leijon et al		
	11	EP 0056580 A1	7/28/1982	Jacobus F.H. Van der Vegt		
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INFORMATION DISCLOSURE CITATION LIST
ALTERNATE FORM PTO-1449



Issue2: dated 02/21/00

OTHER REFERENCES (Including Title, Author, Date, Pertinent Pages, etc.)

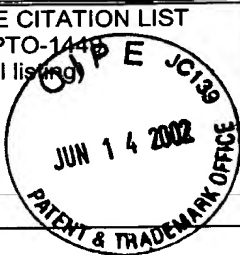
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INFORMATION DISCLOSURE CITATION LIST
ALTERNATE FORM PTO-1449
(additional to original listing)



Docket Number:
216268US-6X PCT

Application Number
09/926,607

Applicant(s):
NILS-IVAR LANDGREN

Filing Date:
FEBRUARY 27, 2002

Group Art Unit:
2834

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
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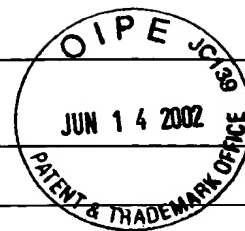
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*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**INFORMATION DISCLOSURE CITATION LIST
ALTERNATE FORM PTO-1449**



FOREIGN PATENT DOCUMENTS					
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION
					YES NO
	1	WO 9745908	12/4/97	PCT	
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**INFORMATION DISCLOSURE CITATION LIST
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OTHER REFERENCES (Including Title, Author, Date, Pertinent Pages, etc.)


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Examiner	Date Considered
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP0 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

INFORMATION DISCLOSURE CITATION LIST ALTERNATE FORM PTO-1449 (additional to original listing)	<div style="text-align: right; font-size: small;">  </div> Docket Number: 216268US-6X PCT
	Application Number 09/926,607
	Applicant(s): NILS-IVAR LANDGREN
	Filing Date: FEBRUARY 27, 2002
	Group Art Unit: 2834

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	1	US 1,508,456	9/16/24	W.G.Lenz			
	2	US 1,904,885	4/18/33	G.A.Seeley			
	3	US 2,409,893	10/22/46	W.W. Pendleton et al			
	4	US 2,650,350	8/25/53	P.D. Heath			
	5	US 2,749,456	06/05/56	F.O. Luenberger			
	6	US 3, 014, 139	12/19/61	L.P. Shildneck			
	7	US 3,197,723	7/27/65	I.K.Dortort			
	8	US 3,392,779	7/16/68	K.B. Tilbrook			
	9	US 3,411,027	11/12/68	H. Rosenberg			
	10	US 3,541,221	11/17/70	M.Aupoix et al			
	11	US 3,571,690	3/23/71	V V A V Lataisa			
	12	US 3,651,244	3/21/72	D.A. Silver et al			
	13	US 3,660,721	5/2/72	L.L.Baird			
	14	US 3,666,876	5/30/72	E.O.Forster			
	15	US 3,684,906	8/15/72	H.G.Lexz			
	16	US 3,699,238	10/17/72	T.E.Hansen et al			
	17	US 3,743,867	7/3/73	J.L. Smith, Jr.			
	18	US 3,787,607	1/22/74	H.J.Schlaflly			
	19	US 3,813,764	6/4/74	E. Tanaka et al			
	20	US 3,828,115	8/6/74	A.Hvizd, Jr.			
	21	US 3,912,957	10/14/75	H.B. Reynolds			
	22	US 3,993,860	11/23/76	J.P.Snow et al			
	23	US 4,008,367	2/15/77	H. Sunderhauf			
	24	US 4,132,914	1/2/79	G.M. Khutoretsky			
	25	US 4,314,168	2/2/82	O. Breitenbach			
	26	US 4,321,426	3/23/82	F.K.Schaeffer			
	27	US 4,361,723	11/30/82	A.Hvizd Jr. et al			
	28	US 4,365,178	12/21/82	H.G.Lexz			
	29	US 4,367,890	1/11/83	F.Spirk			
	30	US 4,384,944	5/24/83	D. A. Silver et al			
	31	US 4,401,920	8/30/83	R.S.Taylor et al			
	32	US 4,432,029	2/14/84	B. Lundqvist			
	33	US 4,437,464	3/20/84	J.J.Crow			
	34	US 4,484,106	11/20/84	R.S.Taylor et al			
	35	US 4,490,651	12/25/84	R.S.Taylor et al			
	36	US 4,508,251	4/2/85	K.Harada et al			
	37	US 4,520,287	5/28/85	D.C.Wang et al			
	38	US 4,571,453	2/18/86	M.Takaoka et al			
	39	US 4,615,778	10/7/86	R.K.Elton			
	40	US 4,622,116	11/11/86	R.K.Elton et al			
	41	US 4,652,963	3/24/87	N. Fahlen			
	42	US 4,723,083	2/2/88	R.K.Elton			
	43	US 4,724,345	2/9/88	R.K.Elton et al			
	44	US 4,732,412	3/22/88	R. D.A. van der Linden et al			

Examiner	Date Considered
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*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Sheet 2 of 7

**INFORMATION DISCLOSURE CITATION LIST
ALTERNATE FORM PTO-1449**



FOREIGN PATENT DOCUMENTS						
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	1	DE 209,313	4/25/84	Germany		
	2	DE 134,022	12/28/01	Germany		
	3	DE 1,465,719	5/22/69	Germany		
	4	DE 19,020,222	3/13/97	Germany		
	5	DE 19,620,906	1/8/96	Germany		
	6	DE 386,561	12/13/23	Germany		
	7	DE 3,925,337	2/7/91	Germany		
	8	DE 406,371	11/21/24	Germany		
	9	DE 4,402,184	8/3/95	Germany		
	10	DE 4,438,186	5/2/96	Germany		
	11	DE 975,999	1/10/63	Germany		
	12	EP 0,102,513	1/22/86	European		
	13	EP 0,185,788	7/2/86	European		
	14	EP 0,221,404	5/16/90	European		
	15	EP 0,503,817	9/16/92	European		
	16	EP 0,620,630	10/19/94	European		
	17	EP 0,739,087 A2	10/23/96	European		
	18	EP 0,739,087 A3	3/27/97	European		
	19	EP 0,749,193 A3	3/26/97	European		
	20	EP 0,749,190 A2	12/18/96	European		
	21	EP 0,913,912 A1	5/6/99	European		
	22	FR 2,481,531	10/30/81	France		
	23	FR 916,959	12/20/46	France		
	24	EP 0,221,404	5/16/90	European		
	25	EP 0,277,358	8/10/86	European		
	26	EP 0,469,155 A1	2/5/92	European		
	27	GB 2,150,153	6/26/85	United Kingdom		
	28	GB 2,332,557	6/23/99	United Kingdom		
	29	DE 468,827	7/13/97	Germany		
	30	GB 666,883	2/20/52	United Kingdom		
	31	GB 739,962	11/2/55	United Kingdom		
	32	HU 175,494	11/28/81	Hungary		
	33	JP 2,017,474	1/22/90	Japan		
	34	JP 57,126,117	5/8/82	Japan		
	35	JP 62,320,631	6/23/89	Japan		
	36	JP 7,161,270	6/23/95	Japan		
	37	JP 8,036,952	2/6/96	Japan		
	38	JP 8,167,360	6/25/96	Japan		
	39	SU 1,189,322	10-86	Switzerland		
	40	SU 266,037	10/11/65	Switzerland		
	41	SU 646,403	2/8/79	Switzerland		
	42	WO 91/11841	8/8/91	PCT		
	43	PCT SE 91/00077	4/23/91	Int'l Search Report		
	44	WO 91/15755	10/17/91	PCT		
	45	WO 97/29494	8/14/97	PCT		
	46	WO 98/40627	9/17/98	PCT		
	47	WO 98/43336	10/1/98	PCT		
	48	PCT/DE 90/00279	11/27/90	Int'l Search Report		

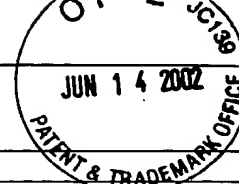
Examiner	Date Considered
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP0 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

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**INFORMATION DISCLOSURE CITATION LIST
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(Corrected Listing of Original List)**



OTHER REFERENCES (Including Title, Author, Date, Pertinent Pages, etc.)

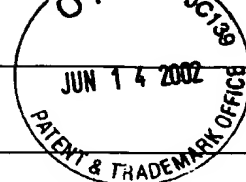
	1	OD 044	A test installation of a self-tuned ac filter in the Konti-Skan 2 HVDC link; T. Holmgren, G. Asplund, S. Valdemarsson, P. Hidman of ABB; U. Jonsson of Svenska Kraftnat; O. loof of Vattenfall Vastsverige AB; IEEE Stockholm Power Tech Conference 6/1995, pp 64-70
	2	OD 045	Analysis of faulted Power Systems; P Anderson, Iowa State University Press / Ames, Iowa, 1973, pp 255-257
	3	OD 046	36-Kv. Generators Arise from Insulation Research; P. Sidler; <i>Electrical World</i> 10/15/1932, ppp 524
	4	OD 047	Oil Water cooled 300 MW turbine generator; L.P. Gnedin et al; <i>Elektrotechnika</i> , 1970, pp 6-8
	5	OD 048	J&P Transformer Book 11 th Edition; A. C. Franklin et al; owned by Butterworth - Heinemann Ltd, Oxford Printed by Hartnolls Ltd in Great Britain 1983, pp29-67
	6	OD 049	Transformerboard; H.P. Moser et al; 1979, pp 1-19
	7	OD 050	The Skagerrak transmission - the world's longest HVDC submarine cable link; L. Haglof et al of ASEA; ASEA Journal Vol 53, Number 1-2, 1980, pp 3-12
	8	OD 051	Direct Connection of Generators to HVDC Converters: Main Characteristics and Comparative Advantages; J. Arrillaga et al; <i>Electra</i> No. 149, 08/ 1993, pp 19-37
	9	OD 052	Our flexible friend article; M. Judge; <i>New Scientist</i> , 05/10/1997, pp 44-48
	10	OD 053	In-Service Performance of HVDC Converter transformers and oil-cooled smoothing reactors; G.L. Desilets et al; <i>Electra</i> No. 155, 08/1994, pp 7-29
	11	OD 054	Transformateurs a courant continu haute tension-examen des specifications; A. Lindroth et al; <i>Electra</i> No 141, 04/1992, pp 34-39
	12	OD 055	Development of a Termination for the 77 kV-Class High Tc Superconducting Power Cable; T. Shimonosono et al; IEEE Power Delivery, Vol 12, No 1, 01/1997, pp 33-38
	13	OD 056	Verification of Limiter Performance in Modern Excitation Control Systems; G. K. Girgis et al; IEEE Energy Conservation, Vol. 10, No. 3, 09/1995, pp 538-542
	14	OD 057	A High Initial response Brushless Excitation System; T. L. Dillman et al; IEEE Power Generation Winter Meeting Proceedings, 01/31/1971, pp 2089-2094
	15	OD 058	Design, manufacturing and cold test of a superconducting coil and its cryostat for SMES applications; A. Bautista et al; IEEE Applied Superconductivity, Vol 7, No. 2, 06/1997, pp 853-856
	16	OD 059	Quench Protection and Stagnant Normal Zones in a Large Cryostable SMES; Y. Lvovsky et al; IEEE Applied Superconductivity, Vol. 7, No. 2, 06/1997, pp 857-860
	17	OD 060	Design and Construction of the 4 Tesla Background Coil for the Navy SMES Cable Test Apparatus; D.W. Scherbarth et al; IEEE Applied Superconductivity, Vol. 7, No. 2, 06/1997, pp 840-843
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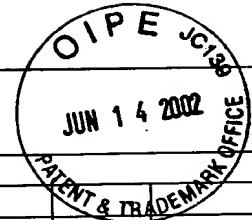
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INFORMATION DISCLOSURE CITATION LIST ALTERNATE FORM PTO-1449 (Corrected Listing of Original List)				Docket Number: 216268US-6X PCT		Application Number 09/926,607	
				Applicant(s): NILS-IVAR LANDGREN			
				Filing Date: FEBERUARY 27, 2002		Group Art Unit: 2834	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	1	US1304451	5/20/19	L. H. Burnham			
	2	US1418856	6/2/22	Robert B. Williamson			
	3	US1481585	1/22/24	James Robert Beard			
	4	US1728915	9/24/29	E. P. Blankenship et al			
	5	US1742985	1/7/30	L. H. Burnham			
	6	US1747507	2/18/30	Robert B. George			
	7	US1756672	4/29/30	John M. Barr			
	9	US1762775	6/10/30	Albert G. Ganz			
	9	US1781308	11/11/30	Mauritz Vos			
	10	US1861182	5/31/32	F. Hendey et al			
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	13	US2206856	7/2/40	W. E. Shearer			
	14	US2217430	10/8/40	R. A. Baudry			
	15	US2241832	5/13/41	H.W. Wahlquist			
	18	US2251291	8/5/41	L. O. Reichelt			
	17	US2256897	9/23/41	W. F. Davidson et al			
	18	US2295415	9/8/42	G.R. Monroe			
	19	US2415652	2/11/47	R. B. Norton			
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	21	US2436306	2/17/48	J. S. Johnson			
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	23	US2459322	1/18/49	G. T. Johnston			
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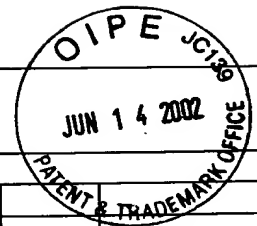
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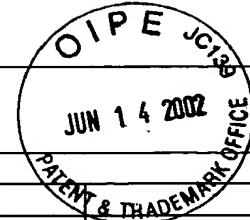
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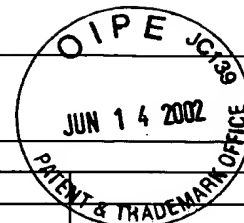
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235	US5327637	7/12/94	O. Britenbach et al		
236	US5341281	8/23/94	G. Skibinski		
237	US5343139	8/30/94	L. Gyugyi et al		
238	US5355046	10/11/94	K. Weigelt		
239	US5365132	11/15/94	J. Hann et al		
240	US5387890	2/7/95	P. Estop et al		
241	US5397513	3/14/95	C. Steketee, Jr.		
242	US5400005	3/21/95	H. Bobry		
243	US5452170	9/19/95	S. Ohde et al		
244	US5468916	11/21/95	M. Litenas et al		
245	US5500632	3/19/96	J. Halser, III		
246	US5510942	4/23/96	L. Bock et al		
247	US5530307	6/25/96	G. Horst		
248	US5545853	8/13/96	N. Hildreth		
249	US5550410	8/27/96	C. Titus		
250	US5583387	12/10/96	M. Takeuchi et al		
251	US5587126	12/24/96	C. Steketee, Jr.		

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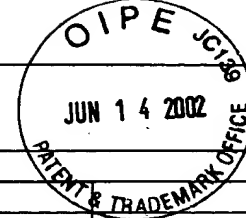
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	258	US5807447	9/15/98	I. Forrest			
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FOREIGN PATENT DOCUMENTS

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	4	CH534448	2/28/73	Switzerland		
	5	CH539328	7/4/73	Switzerland		
	6	CH657482	8/29/86	Switzerland		
	7	DD137164	8/15/79	Germany DDR		
	8	DD138840	11/21/79	Germany DDR		
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	10	DE1807391	5/27/70	Germany		
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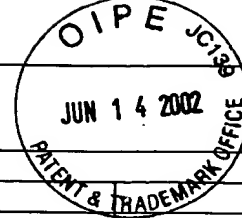
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86	EP0342554	11/23/89	European		
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89	EP0439410	7/31/91	European		
90	EP0440865	8/14/91	European		
91	EP0490705	6/17/92	European		
92	EP049104	4/7/82	European		
93	EP0493704	4/7/82	European		
94	EP0571155	11/24/93	European		

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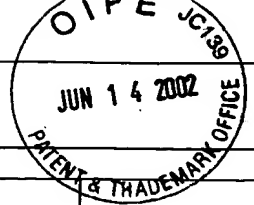
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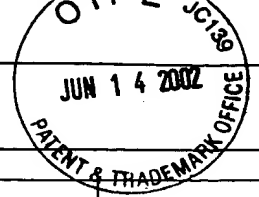


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	361	WO9929018	6/10/99	PCT		
	362	WO9929019	6/10/99	PCT		
	363	WO9929020	6/10/99	PCT		
	364	WO9929021	6/10/99	PCT		
	365	WO9929022	6/10/99	PCT		
	366	WO9929024	6/10/99	PCT		
	367	WO9929026	6/10/99	PCT		
	368	WO9929029	6/10/99	PCT		
	369	WO9929034	6/10/99	PCT		
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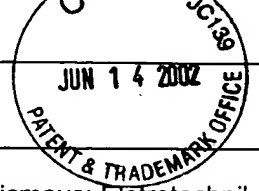
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	3	OD003	El kraf teknisk Handbok, 2 El maskiner; A. Alfredsson et al; 1988, pp 121-123
	4	OD004	High Voltage Cables in a New Class of Generators Powerformer; M. Leijon et al; 6/14/99; pp1-8.
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*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP0 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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 (Corrected Listing of Original List)



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GRAND TOTAL	671		
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